

GP 2834

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Pelrine, et al.

Application No.: 09/779,373

Filed: February 7, 2001

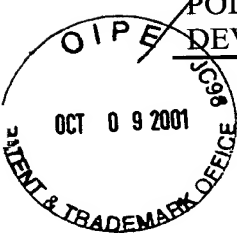
Title: ENERGY EFFICIENT ELECTROACTIVE  
POLYMERS AND ELECTROACTIVE POLYMER  
DEVICES

Attorney Docket No.: SRIIP029

Examiner: To Be Assigned

Group: 2834

#5/IDS  
REVIEWS  
11/1/01



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on September 26, 2001.

Signed:

*Deborah Neill*

Deborah Neill

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**INFORMATION DISCLOSURE STATEMENT  
BEFORE FINAL ACTION OR NOTICE OF ALLOWANCE  
(37 CFR §§ 1.56 AND 1.97(c))**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449, a copy of which is attached, may be material to examination of the above-identified patent application. Applicants submit this reference in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this reference indeed constitutes prior art.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, or after three months of the filing date of this application, whichever event occurred last, but it is believed before the mailing date of either: (i) a final action under §1.113 or (ii) a notice of allowance under §1.311, whichever occurs first.

Accompanying this Information Disclosure Statement is

- ☒ a statement as specified in 37 CFR 1.97(e); or  
☐ the fee set forth in 37 CFR 1.17(p).



**[37 CFR § 1.97(e) Statement, if relied upon]**

The undersigned hereby states:

☒ that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of the Information Disclosure Statement; or

☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

We believe that no Information Disclosure Statement Fees are due. If it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SRI1P029).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP

William J. Plut

Limited Recognition Under 37 C.F.R. §10.9(b)

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<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty Docket No.	Application No.:
	<b>SRI1P029</b>	<b>09/779,373</b>
	Applicant:	
	<b>Pelrine, et al.</b>	
	Filing Date	Group
	<b>February 7, 2001</b>	<b>2834</b>

### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	3,832,580	08/27/74	Yamamuro, et al.			01/04/73
	B	5,356,500	10/18/94	Scheinbeim, et al.			03/20/92
	C	5,440,194	08/08/95	Beurrier			05/13/94
	D	5,977,685	11/02/99	Kurita, et al.			06/03/96
	E	6,048,622	04/11/00	Hagood IV, et al.			02/09/99
	F	6,060,811	05/09/00	Fox, et al.			07/25/97
	G	6,084,321	07/04/00	Hunter, et al.			08/07/98
	H	6,184,608	02/06/01	Cabuz, et al.			12/29/98
	I	6,184,609	02/06/01	Johansson, et al.			03/26/97
	J	6,249,076	06/19/01	Madden, et al.			04/14/99

### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	K							
	L							

### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	M	
	N	
	O	
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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